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**FINANCIAL DISCLOSURE STATEMENT**

(For use by Public Officers and Candidates of the State of Arizona)

Name of Public Officer or Candidate Tom Horne

Address [REDACTED]

Public Office Held or Sought Superintendent of Public Instruction District #       

Check one:

- ☒ I am a public officer filing this statement covering the 12 months of calendar year 20 10.
- ☐ I am a candidate for a public office, and am filing this Financial Disclosure Statement covering the 12 months preceding the date of this statement, from the month of        20       , to the month of        20       .
- ☐ I have been appointed to fill a vacancy in a public office and am filing this Financial Disclosure Statement covering the 12 month period ending with the last full month prior to the date I took office.

**VERIFICATION**

I do solemnly swear that the Financial Disclosure Statement filed herewith is in all things true and correct, and fully shows all information I am required to report pursuant to A.R.S. § 38-542.

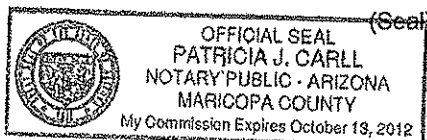
Tom Horne  
Signature of Public Officer or Candidate

State of Arizona )  
County of Maricopa }

Subscribed and sworn to (or affirmed) before me this 3<sup>rd</sup> day of January, 20 11.

Patricia J. Carll  
Notary Public

October 13, 2012  
My Commission expires



## SECTION A: PERSONAL DISCLOSURE

### 1. Names

**What to disclose:** Your and your spouse's names and the names of minor children of whom you have legal custody.

YOUR NAME	Tom Horne
YOUR SPOUSE'S NAME	Martha Horne
CHILDREN'S NAMES	

### 2. Sources of Personal Compensation

**What to disclose:** The name and address of each employer who paid you, your spouse, or any member of your household more than \$1,000 in salary, wages, commissions, tips or other forms of compensation during the period covered by this report. Describe each employer's business and the services for which you or a member of your household were compensated.

Also, list anything of value that any other person, outside your household, received for your use or benefit of you or any member of your household. For example, if a person was paid by your employer to be your housekeeper, list that person's wages and the name of the employer.

**You need not disclose:** Any money you or any member of your household received that was gross income paid to a business you or your household member owned.

PUBLIC OFFICER OR MEMBER OF HOUSEHOLD	NAME AND ADDRESS OF EMPLOYER OR OTHER SOURCE OF COMPENSATION OVER \$1,000	DESCRIPTION OF EMPLOYER'S BUSINESS AND SERVICES PROVIDED BY PUBLIC OFFICER OR MEMBER OF HOUSEHOLD
Tom Horne	State of Arizona 1275 W. Washington, Phoenix, AZ 85007	Attorney General

### 3. Professional, Occupational and Business Licenses

**What to disclose:** List all licenses issued to or held by you or any member of your household at any time during the period covered by this Statement.

TYPE OF LICENSE OR PERMIT	NAME IN WHICH LICENSE IS ISSUED	PUBLIC OFFICER OR HOUSEHOLD MEMBER HOLDING LICENSE, IF NOT ISSUED IF OWN NAME	JURISDICTION(S) OF LICENSE	LOCATION OF BUSINESS
Law	Thomas C. Horne		Arizona	1275 W. Washington Phoenix, AZ 85007

### 4. Personal Creditors

**What to disclose:** The name and address of each creditor to whom you, or a member of your household owed a personal debt over \$1,000 during the period covered by this Statement. If the debt was incurred or discharged during this period, list the date and whether it was incurred or discharged.

**You need not disclose:** Debts resulting from the ordinary conduct of a business (disclose those in Section C). Debts on residences or recreational property, on motor vehicles not used for commercial purposes, on debts secured by cash values on life insurance, or debts you owe to relatives, personal credit card transactions or installment contracts.

PERSONAL DEBTS OVER \$1,000		
NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	PUBLIC OFFICER OR MEMBER OF HOUSEHOLD OWING THE DEBT	DATE INCURRED AND/OR DISCHARGED
National Bank of Arizona	Tom and Martha Horne	11/1/10
Mortgage on Sun City West Property (See #10)		<input checked="" type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

## 5. Personal Debtors

**What to disclose:** The name of each debtor who owed you or a member of your household a debt over \$1,000 at any time during the period covered by this Statement, and the approximate value of the debt (See last page of value categories). If the debt was incurred or discharged during the period covered by this Statement, report the date and whether the debt was incurred or discharged.

DEBTS OVER \$1,000 OWED TO YOU PERSONALLY			
NAME OF DEBTOR	PUBLIC OFFICER OR MEMBER OF HOUSEHOLD TO WHOM THE DEBT IS OWED	AMOUNT BY VALUE CATEGORY	DATE INCURRED AND/OR DISCHARGED
Tom Horne for AG Campaign Committee	Tom Horne	3	2010 <input checked="" type="checkbox"/> Incurred <input type="checkbox"/> Discharged
			<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
			<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

## 6. Gifts

**What to disclose:** The name of the donor who gave you or a member of your household a single gift or an accumulation of gifts with a value over \$500, if that gift does NOT fit into a category below.

**You need not disclose:** Gifts you or a household member received by will, intestate succession, *inter vivos* (living) trusts, or testamentary trusts established by a spouse or ancestor. Gifts received from any other member of the household or relatives to the second degree of consanguinity (parents, grandparents, siblings, children and grandchildren) or political contributions reported on campaign finance reports.

NAME OF DONOR OF GIFTS OVER \$500	PUBLIC OFFICER OR MEMBER OF HOUSEHOLD – RECIPIENT
N/A	

## SECTION B: REPORTABLE INTERESTS

### 7. Offices or Fiduciary Relationships in Businesses, Nonprofit Organizations or Trusts

What to disclose: The name and address of each business, organization, trust or nonprofit organization or association in which you or any member of your household held any office OR had a fiduciary relationship during the period covered by this Statement. Describe the office or relationship.

NAME OF ORGANIZATION AND ADDRESS	NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD	OFFICE OR FIDUCIARY RELATIONSHIP
N/A		

### 8. Ownership or Financial Interest in Trusts, or Investment Funds

What to disclose: The name and address of each business, trust, investment or retirement fund in which you or any member of your household had an ownership or beneficial interest of over \$1,000. This includes stocks, partnerships, joint ventures, sole proprietorships, annuities, mutual funds and retirement accounts. List the percentage of ownership or interest, and categorize the value of the equity. (See last page for value categories.)

NAME AND ADDRESS OF BUSINESS OR TRUST	PUBLIC OFFICER OR MEMBER OF HOUSEHOLD	DESCRIPTION OF INTEREST	EQUITY BY VALUE CATEGORY
See Exhibit 1 - Attached			
See Exhibit 2 - Attached			

## 9. Bonds

**What to disclose:** Bonds issued by a single agency worth more than \$1,000 that you or a member of your household hold, or held during the period covered by this Statement. If the bonds were acquired or divested during the period, report the date that occurred.

BONDS OVER \$1,000	ISSUING AGENCY	PUBLIC OFFICER OR MEMBER OF HOUSEHOLD	VALUE CATEGORY	DATE ACQUIRED AND/OR DIVESTED
N/A				<input type="checkbox"/> Acquired <input type="checkbox"/> Divested
				<input type="checkbox"/> Acquired <input type="checkbox"/> Divested
				<input type="checkbox"/> Acquired <input type="checkbox"/> Divested

## 10. Real Property Ownership

**What to disclose:** Arizona real property and improvements to which you or a member of your household hold, or held title during the period covered by this Statement. Describe the property's location and approximate size. Using the value categories (see last page) report the value of your equity. If that property was acquired or divested during the period covered by this Statement, list the date and what occurred.

**You need not disclose:** Your primary residence or property you use for personal recreation.

LOCATION AND APPROXIMATE SIZE OF ARIZONA REALTY	PUBLIC OFFICER OR MEMBER OF HOUSEHOLD OR BUSINESS	EQUITY BY VALUE CATEGORY	DATE ACQUIRED OR DIVESTED
521-539 W. McDowell, Phoenix - Shopping Center 14,000 sq. ft.	Tom and Martha Horne	3	2010 <input type="checkbox"/> Acquired <input checked="" type="checkbox"/> Divested
2401 N. 32nd Street, Phoenix - Shopping Center 8,500 sq. ft.	Tom and Martha Horne	3	1985 <input checked="" type="checkbox"/> Acquired <input type="checkbox"/> Divested
19082 N. Johnson Blvd., Sun City West - Shopping Complex 8,000 sq. ft.	Tom and Martha Horne	3	2010 <input checked="" type="checkbox"/> Acquired <input type="checkbox"/> Divested

## SECTION C: BUSINESS INTERESTS

### 11. Business Names

**What to disclose:** The name of any business under which you or any member of your household did business during the period covered by this Statement. Include corporations, limited liability companies, partnerships and trade names. Using the definitions provided in statute, disclose if the business named is controlled or dependent. If the business is both controlled and dependent, mark both boxes.

PUBLIC OFFICER OR MEMBER OF HOUSEHOLD	BUSINESS NAME	BUSINESS ADDRESS	CONTROLLED AND/OR DEPENDENT BUSINESS
Tom and Martha Horne	Harvard Square Associates*	2824 E. Mission Lane Phoenix, AZ 85028	<input checked="" type="checkbox"/> Controlled <input checked="" type="checkbox"/> Dependent
			<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent
			<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent
*Name used for investment on #10			<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent

**IMPORTANT:** IF A BUSINESS LISTED ABOVE DID NOT GROSS MORE THAN \$10,000 OR PROVIDE MORE THAN 10% OF YOUR PERSONAL COMPENSATION DURING THE PERIOD COVERED BY THIS STATEMENT, YOU DO NOT NEED TO COMPLETE THE REST OF THIS STATEMENT.

### 12. Controlled Business Information

**What to disclose:** The name of each controlled business you listed above, and the goods or services provided by the business. If a single client or customer (person or business) accounts for more than \$10,000 and 25% of the gross income, describe what it is your business provides to that customer or client. Then, in column 4, describe what the client/customer's business does (if your major client is a person, leave the last column blank). If you do not have a major client, leave the last two columns blank.

**You need not disclose:** The name of any customer or client, or the activities of any customer or client who is an individual rather than a business.

NAME OF YOUR CONTROLLED BUSINESS	GOODS OR SERVICES PROVIDED BY YOUR BUSINESS	WHAT YOUR BUSINESS PROVIDES TO YOUR MAJOR CUSTOMER OR CLIENT	BUSINESS ACTIVITY OF MAJOR CUSTOMER OR CLIENT
N/A			

### 13. Dependent Business Information

**What to disclose:** The name of each dependent business, the goods or services provided by the dependent business, the goods or services provided to the major customer or client and the business activity if the major customer or client is a business. If the dependent business is also a controlled business, disclose it only in response to #12, above.

**You need not disclose:** The name or identity of the customer or client, or the amount of income from the customer or client. If the customer or client is an individual (rather than a business), you are not required to disclose that person's activities.

NAME OF DEPENDENT BUSINESS	GOODS OR SERVICES PROVIDED BY THE BUSINESS	GOODS OR SERVICES PROVIDED TO THE MAJOR CUSTOMER OR CLIENT	BUSINESS ACTIVITY OF THE MAJOR CUSTOMER OR CLIENT, IF A BUSINESS
N/A			

### 14. Real Property Owned by Business

**What to disclose:** Arizona real property and improvements the titles to which were held by a controlled or dependent business listed above. If the business is one that deals in real property and improvements, list the aggregate value of all parcels held in the period covered by this Statement. Describe the property's location and approximate size. Using the value categories (see last page) report the value of equity in your business. If the property was acquired or divested during the period covered by this Statement, list that and the date.

LOCATION AND APPROXIMATE SIZE OF ARIZONA REALTY	PUBLIC OFFICER OR MEMBER OF HOUSEHOLD OR BUSINESS	EQUITY BY VALUE CATEGORY	DATE ACQUIRED OR DIVESTED
See Answer to #10			<input type="checkbox"/> Acquired <input type="checkbox"/> Divested
			<input type="checkbox"/> Acquired <input type="checkbox"/> Divested
			<input type="checkbox"/> Acquired <input type="checkbox"/> Divested
			<input type="checkbox"/> Acquired <input type="checkbox"/> Divested



## 15. Business' Creditors

**What to disclose:** The name and address of each creditor to which your business owed more than \$10,000, if that amount was also more than 30% of your total business indebtedness at any time during the period covered by this Statement. If the debt was incurred or discharged during the period covered by this Statement, report that and the date.

**You need not disclose:** Debts resulting from a business other than a controlled or dependent business.

BUSINESS DEBTS OVER \$10,000 AND 30%		
NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	NAME OF CONTROLLED OR DEPENDENT BUSINESS (FROM ITEM 3 OR 4)	DATE INCURRED AND/OR DISCHARGED
See answer to #4		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

## 16. Business' Debtors

**What to disclose:** The name of the debtor for each debt exceeding \$10,000 owed to a controlled or dependent business which was also more than 30% of the total indebtedness to the business which was owed at any time during the preceding calendar year. If the debt was incurred or discharged during the year, list that and the date. List value category.

DEBTS OVER \$10,000 AND 30% OWED TO YOUR BUSINESS			
NAME OF DEBTOR	NAME OF CONTROLLED OR DEPENDENT BUSINESS TO WHOM THE DEBT IS OWED	AMOUNT BY VALUE CATEGORY	DATE INCURRED AND/OR DISCHARGED
N/A			<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
			<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

**Value Categories: (from ARS § 38-542(B))**

Category 1 - \$1,000 to \$25,000

Category 2 - More than \$25,000 to \$100,000

Category 3 - More than \$100,000

## EXHIBIT 1

8.

<u>Name and Address of Business or Trust</u>	<u>Public Officer or Member of Household</u>	<u>Percentage Or Interest</u>	<u>Equity Value by Category</u>
Dreyfus Tax Exempt Bond Fund P.O. Box 109 Newark, NJ 07101	Tom Horne	Not able to determine percentage	1
Vanguard Fixed Income Securities PO Box 7800 Philadelphia, PA	Martha Horne	Not able to determine percentage	1
Thomas Horne IRA Account c/o Thomas Horne 2824 E. Mission Ln. Phoenix, AZ 85028 See Exhibit 2	Tom Horne	100%	3
Arizona State Retirement for Elected Officials 3030 E. Camelback Rd. Phoenix, AZ	Tom Horne	Not able to determine percentage	2

## EXHIBIT 2

The form does not call for listing of the investments of retirement plans. However, Tom Home voluntarily provides that listing as follows:

1. List of stocks: Stocks are sold and bought to some extent almost every week. This is a listing as of the date of this statement.

Name of Stock	Equity Value																																																												
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;"><u>Symbol</u></th><th style="text-align: left;"><u>Description</u></th></tr> </thead> <tbody> <tr><td>AAP</td><td>ADVANCED AUTO PTS INC</td></tr> <tr><td>ALK</td><td>ALASKA AIR GROUP INC</td></tr> <tr><td>BRKS</td><td>BROOKS AUTOMATION INC</td></tr> <tr><td>CEPH</td><td>CEPHALON INC</td></tr> <tr><td>CHBT</td><td>CHINA-BIOTICS (CHINA)</td></tr> <tr><td>CRA</td><td>CELERA CORP COM COM</td></tr> <tr><td>CYH</td><td>COMMUNITY HEALTH SYS INC NEW</td></tr> <tr><td>DECK</td><td>DECKERS OUTDOOR CORP</td></tr> <tr><td>DLTR</td><td>DOLLAR TREE INC COM</td></tr> <tr><td>DST</td><td>DST SYS INC DEL</td></tr> <tr><td>DTV</td><td>DIRECTV COM CL A</td></tr> <tr><td>F</td><td>FORD MTR CO</td></tr> <tr><td>FCS</td><td>FAIRCHILD SEMICONDUCTOR INTL CL A</td></tr> <tr><td>INTC</td><td>INTEL CORPORATION</td></tr> <tr><td>IP</td><td>INTERNATIONAL PAPER CO</td></tr> <tr><td>JBLU</td><td>JETBLUE AWYS CORP</td></tr> <tr><td>LCC</td><td>US AIRWAYS GRP</td></tr> <tr><td>LRCX</td><td>LAM RESEARCH CORP</td></tr> <tr><td>LUV</td><td>SOUTHWEST AIRLINES</td></tr> <tr><td>MDCO</td><td>THE MEDICINES COMPANY</td></tr> <tr><td>MKSJ</td><td>MKS INSTRS INC</td></tr> <tr><td>NOVL</td><td>NOVELLUS SYSTEMS INC</td></tr> <tr><td>PCS</td><td>METROPCS COMMUNICATIONS INC</td></tr> <tr><td>SANM</td><td>SANMINA SCI CORP COM NEW CO</td></tr> <tr><td>SDA</td><td>SOLUTIA INC COM NEW</td></tr> <tr><td>STM</td><td>STMICROELECTRONICS N V</td></tr> <tr><td>TRQ</td><td>TRIQUINT SEMICONDUCTOR INC</td></tr> <tr><td>TTE</td><td>TATA MOTORS LTD (INDIA)</td></tr> <tr><td>WMT</td><td>WAL-MART STORES</td></tr> </tbody> </table>	<u>Symbol</u>	<u>Description</u>	AAP	ADVANCED AUTO PTS INC	ALK	ALASKA AIR GROUP INC	BRKS	BROOKS AUTOMATION INC	CEPH	CEPHALON INC	CHBT	CHINA-BIOTICS (CHINA)	CRA	CELERA CORP COM COM	CYH	COMMUNITY HEALTH SYS INC NEW	DECK	DECKERS OUTDOOR CORP	DLTR	DOLLAR TREE INC COM	DST	DST SYS INC DEL	DTV	DIRECTV COM CL A	F	FORD MTR CO	FCS	FAIRCHILD SEMICONDUCTOR INTL CL A	INTC	INTEL CORPORATION	IP	INTERNATIONAL PAPER CO	JBLU	JETBLUE AWYS CORP	LCC	US AIRWAYS GRP	LRCX	LAM RESEARCH CORP	LUV	SOUTHWEST AIRLINES	MDCO	THE MEDICINES COMPANY	MKSJ	MKS INSTRS INC	NOVL	NOVELLUS SYSTEMS INC	PCS	METROPCS COMMUNICATIONS INC	SANM	SANMINA SCI CORP COM NEW CO	SDA	SOLUTIA INC COM NEW	STM	STMICROELECTRONICS N V	TRQ	TRIQUINT SEMICONDUCTOR INC	TTE	TATA MOTORS LTD (INDIA)	WMT	WAL-MART STORES	*
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\* Equity Value is 1 for all stocks except for Alaska Air Group, Community Health Systems, Deckers Outdoor Corp., Dollar Tree Inc. Com; and Triquint Semiconductor Inc. which is 2.

2. 3.12787 percent in First Tucson Airport Investment Partnership, and 3.125 percent interest in First Tucson Airport Investors II Limited Partnership. These two partnerships together own the southeast quarter of section 31, Township 15 south, range 14 east in Tucson. The general partner estimates the interest of the retirement plan in each of these two partnerships at category 1 each.
3. Two percent limited partnership interest in US Liquidity Fund Limited Partnership. The general partner estimates the interest of the retirement account in this partnership at category 1. A list of the properties owned by the partnership follows:

Name of Partnership/ Limited Liability Company	USL Ownership Interest	Type of Property	City/County	State	Location
Belluzzi LLLP	2.83%	Vacant Land	Goodyear	Arizona	SWC Cotton Lane & Van Buren
Rancho Cabrillo LP	3.0%	Vacant Land	Maricopa County	Arizona	SEC 131 <sup>st</sup> Ave. & Happy Valley
Rancho Mercado LP	8.4%	Vacant Land	Maricopa County	Arizona	SEC 147 <sup>th</sup> Ave. & Happy Valley
Stanfield Rural LLC	30.56%	Vacant Land	Maricopa County	Arizona	On Hwy. 84, Just West of Anderson
Cotton Lane 660 LLLP	1.701%	Vacant Land	Goodyear	Arizona	SEC Cotton Lane & Van Buren